## POLICY FOR INACTIVE/DORMANT ACCOUNTS

**Suspension / Deregistering of Client Account:** The Broker and/or client may suspend Client's Trading Account from further dealing in the securities market through the broker in following circumstances:

1. As per Client's prior written request of at least 2 days submitted to Broker at its office duly acknowledged by Broker (subject to clearance of entire outstanding/obligations)
2. Dormant or in-active status of client account beyond specified time limit as may be prescribed by Broker.

**Policy for Dormant / In-active account:**

As per Broker's RMS policy, the account in which no transactions has took place during the period of 6 months from the date of last transaction, the same shall be considered as Dormant / In-active account. Such transaction date may relate to any of the following date, whichever is later:

a) Entry related to contract or bill generation for buy/ sell transaction or
b) Entry related to payment of funds or securities by client or
c) Entry passed by the broker by way of JV due to any dues/ obligation recoverable from client including but not limited to auction charges, any penalty amount whether or not imposed by Exchange or SEBI or other authorities etc.

To designate the client's account as Dormant / In-active account, the period of 6 months shall be counted from the last day of respective month in which any of the aforesaid last transaction took place. In case Broker treats the account of client as a dormant / in-active account, the funds or securities lying with the broker shall be refunded / returned to clients immediately on demand by the client.

In order to reactive the account, client needs to instruct the Broker in writing at least 2 days in advance at its office. The broker will try to promptly reactivate the said account subject to fulfillment of such condition as broker may consider fit and proper. However, Broker may in its own discretion, waive / reduce the period 2 days as the circumstances may warrant on case to case basis.

Client Signature:                                                    Date: _____

Client Code: _____